- 1. Name of Listed Entity: Crompton Greaves Limited
- 2. Scrip Code/Name of Scrip/Class of Security: CROMPGREAV
- 3. Share Holding Pattern Filed under: 31b
- 4. Share Holding Pattern as on: 30-Sep-2016
- 5. **Declaration**: The Listed entity is required to submit the following declaration to the extent of submission of information:-

S. No.	Particulars	Yes/No	
1	Whether the Listed Entity has issued any partly	No	
	paid up shares?		
2	Whether the Listed Entity has issued any	No	
	Convertible Securities or Warrants?		
3	Whether the Listed Entity has any shares against	Yes	Non Promoter Non Public
	which depository receipts are issued?		
4	Whether the Listed Entity has any shares in	No	
	locked-in?		
5	Whether any shares held by promoters are pledge	Yes	Promoter and Promoter Group
	or otherwise encumbered?		
6	Whether the Listed Entity has issued any	No	
	differential Voting Rights?		

Table I - Summary Statement holding of specified securities

Catego ry (I)	Category of shareholder (II)	Nos. of shareh olders (III)	No. of fully paid up equity shares held (IV)	No. of Partly paid-up equity shares held (V)	No. of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV)+(V)+ (VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII)				No. of Shares Underlying Outstanding convertible securities (including	Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share	Numk Lock sha (X	ed in res	SI pled oth encu	mber of hares dged or erwise mbered XIII)	Number of equity shares held in dematerializ ed form
							As a % of (A+B+C2)	No of Vot Rights Clas Clas s s eg: eg:y	Tot al	Total as a % of (A+B+ C)	Warrants) (X)	capital) (XI)= (VII)+(X) As a % of (A+B+C2)	No. (a)	As a % of total Shar es held (b)	No. (a)	As a % of total Share s held (b)	(XIV)
A	Promoter &	3	2154510	0		215451	34.42	2154 0	215	34.42	0	34.42	0	0	126	58.73	21545107

	Promoter Group		70			070		5107 0		451 070						533 000		0
В	Public	13930 8	4104127 43	0		410412 743	65.58	4104 1274 3			65.58	0	65.58	0	0	000		40510267 7
С	Non Promoter- Non Public	1	0	0	882329	882329	.14	0	0	0	0		0	0	0	0	0	882329
C1	Shares underlying DRs	1	0	0	882329	882329	0	0	0	0	0	0	0	0	0			882329
C2	Shares held by Employee Trusts	0	0	0	0	0	0	0	0	0	0	0	0	0	0			0
	Total	13931 2	6258638 13	0	882329	626746 142	100	6258 6381 3		625 863 813		0	100	0		126 533 000	20.19	62143607 6

Table II - Statement showing shareholding pattern of the Promoter and Promoter Group

	Category & Name	PAN	No. of	No. of	Partly	Nos. of	Total	Sharehol	Numb	or of Votin	na Piah	ts held in	No. of	Shareholdin	Num	ber of	Nur	nber of	Number of
	of the	(II)	shareh	fully	paid-up	shares	nos.	ding %		er or vour			Shares	g, as a %		red in		hares	equity
	Shareholders		older	paid	equity	underlying	shares	calculate			IX)		Underlying	assuming	sh	ares	pled	dged or	shares held
	(1)		(III)	up	shares	Depository	held	d as per					Outstanding	full	0	(II)		erwise	in
				equity share	held (V)	Receipts (VI)	(VII = IV+V+VI)	SCRR, 1957	1				convertible securities	conversion of				mbered XIII)	dematerializ ed form
				s held	()	(**)			No of	Voting Ri	ghts	Total as	(including	convertible	No.	As a	No.	Ás a	(XIV)
				(IV)				As a % of		_	_	a % of	Warrants)	securities ((a)	% of	(a)	% of	
								(A+B+C2) (VIII)	<u></u>			Total Voting	(X)	as a percentage	1	total Shar		total share	
								(****)	Class X	Class Y	Tot al	rights		of diluted		es		s held	
														share capital)	4	held		(b)	
														(XI) =	1	(b)			
														(VII)+(X) as a					
														% of A+B+C2	-				
														ATBTOZ					
1	Indian		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
a	Individuals/Hi	i	0	0	0		0	0	0	0	0	0	0	0	0	0	0	0	0
	ndu undivided	1																	
	Family																		
b	Central		0	0	0		0	0	0	0	0	0	0	0	0	0	0	0	0
	Government/																		
	State																		
	Government(s	3																	
)																		
c	Financial		0	0	0		0	0	0	0	0	0	0	0	0	0	0	0	0
	Institutions/																		
	Banks																		
d	Any Other		3	21545	0		215451	34 42	21545	0	215	34.42	0	34.42	0	0	126	58 73	21545107
a				1070	O		070	5 1. 12	1070		451	5 1. 12		31.12		O	533	30.73	0
	(specify)			1070			070		1070										μ
											070						000		
	Bodies		3	21545	0		215451	34.42	21545	0		34.42	0	34.42	0	0	126	58.73	21545107
	Corporate			1070			070		1070		451						533		0
	1										070						000		
	AVANTHA	AAB		21544	0		215442	34.42	21544	0	215	34.42	0	34.42	0	0	126	58.73	21544249
	HOLDINGS	CB61		2496			496		2496		442						533		6
	LIMITED	34E									496						000		
	VARUN	AAB		5022	0		5022	0	5022	0	502	0	0	0	0	0	-	0	5022
	VAKUN	AAB		5022	υ		5022	U	5022	υ	502	υ	υ	μ	υ	U	U	υ	5022

	PRAKASHA N PVT LTD	CV73 74A									2								
	AVANTHA REALTY	AAA CJ803 0A		3552	0		3552	0	3552	0	355 2	0	0	0	0	0	0	0	3552
	Sub-Total (A)(1)			21545 1070	0		215451 070		21545 1070		215 451 070	34.42	0	34.42	0		126 533 000	58.73	21545107 0
2	Foreign		0	0	0	0	0	0	0	0	0	0	0	0	0	-	,	0	0
a	Individuals (Non- Resident Individuals/ Foreign Individuals)		0	0	O		O	0	O	0	0	O	0	0	0	0	0	0	0
b	Government		0	0	0		0	0	0	0	0	0	0	0	0	0	0	0	0
c	Institutions		0	0	0		0	0	0	0	0	0	0	0	0	0	0	0	0
d	Foreign Portfolio Investor		0	0	0		0	0	0	0	0	0	0	0	0	0	0	0	0
e	Any Other (specify)		0	0	0		0	0	0	0	0	0	0	0	0	0	0	0	0
	Sub-Total (A)(2)		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
	Total Shareholding of Promoter and Promoter Group (A)= (A)(1)+(A)(2)		3	21545 1070	0		215451 070		21545 1070		215 451 070	34.42	0	34.42	0		126 533 000	58.73	21545107 0

Table III - Statement showing shareholding pattern of the Public shareholder

Category & Name	PAN	Nos. of	No. of	Partly	Nos. of	Total	Sharehol	Number of Voting Rights held in	No. of	Total	Number of	Number of	Number of
of the	(II)	shareh	fully	paid-up	shares	nos.	ding %	each class of securities	Shares	shareholdin	Locked in	Shares	equity
Shareholders		older	paid	equity	underlying	shares	calculate	(IX)	Underlying	g, as a %	shares	pledged or	shares held
(1)		(III)	ир	shares	Depository	held	d as per		Outstanding	assuming	(XII)	otherwise	in
			equity	held	Receipts	VII =	SCRR,		convertible	full		encumbered	dematerializ
			share	(V)	(VI)	<u> V+V+V </u>	1957		securities			(XIII)	ed form

				s held (IV)				As a % of (A+B+C2) VIII	No of	Voting Ri	ights	Total as a % of Total	(including Warrants) (X)	conversion of convertible	No. (a)	As a % of total	No. (No t	As a % of total	(XIV)
									Class X	Class Y	Tot al	Voting rights		securities (as a percentage of diluted share capital)		Shar es held (b)	ap pli ca ble) (a)	share s held (Not applic able) (b)	
1	Institutions		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
a	Mutual Funds/		16	17001 1096	0		170011 096	27.16	17001 1096	0	170 011 096	27.16	0	27.16	0	0			17000593 0
	BIRLA SUN LIFE TRUSTEE COMPANY PRIVATE LIMITED A/C BIRLA SUN LIFE FRONTLINE EQUITY FUND	AAA TB01 02C		37400 533	0		374005 33	5.98	37400 533	0	374 005 33	5.98	0	5.98	0	0			34175110
	HDFC TRUSTEE COMPANY LIMITED- HDFC EQUITY FUND	AAA TH18 09A		57809 500	0		578095 00	9.24	57809 500	0	578 095 00	9.24	0	9.24	0	0			57809500
	RELIANCE CAPITAL TRUSTEE CO. LTD A/C RELIANCEE QUITY OPPORTUNI TIES FUND	-		54305 874			543058 74		54305 874		058 74	8.68	0	8.68	0	0			52804874
	UTI-MID CAP FUND	AAA TU10		11256 667	0		112566 67	1.8	11256 667	0	112 566		0	1.8	0	0			11398160

		88L								67						
b	Venture Capital Funds		0	0	0	0	0	0	0	0	0	0	0	0	0	0
с	Alternate Investment Funds		1	10000 00	0	100000	.16	10000	0	100 000 0	.16	0	.16	0	0	1000000
d	Foreign Venture Capital Investors		0	0	0	0	0	0	0	0	0	0	0	0	0	0
e	Foreign Portfolio Investors		161	11521 7638	0	115217 638	18.41	11521 7638	0	115 217 638	18.41	0	18.41	0	0	11513727 6
	ABU DHABI INVESTMEN T AUTHORIT Y - LGLINV			89700 00	0	897000 0	1.43	89700 00	0	897 000 0	1.43	0	1.43	0	0	8970000
		AAO CS55 02Q	0	13266 586	0	132665 86	2.12	13266 586	0	132 665 86	2.12	0	2.12	0	0	13266586
	VANGUARD EMERGING	CA43 80N		68669 37	0	686693 7	1.1	68669 37	0	686 693 7	1.1	0	1.1	0	0	6866937
		AAB	0	16588	0	165880	2.65	16588	0	165	2.65	0	2.65	0	0	16588057

	SMALLER COMPANIES FUND, LLC	488J		057			57		057		880 57								
f	Financial Institutions/ Banks		25	78046 7	0		780467	.12	78046 7	0	780 467	.12	0	.12	0	0			701107
g	Insurance Companies		6	41804 246	0		418042 46	6.68	41804 246	0	418 042 46		0	6.68	0	0			41804246
	LIFE INSURANCE CORPORATI ON OF INDIA			32820 195	0		328201 95	5.24	32820 195	0	328 201 95	5.24	0	5.24	0	0			32820195
h	Provident Funds/ Pension Funds		0	0	0		0	0	0	0	0	0	0	0	0	0			0
i	Any Other (specify)		0	0	0		0	0	0	0	0	0	0	0	0	0			0
	Sub-Total (B)(1)		209	32881 3447	0	0	328813 447	52.53	32881 3447	0	328 813 447	52.53	0	52.53	0	0			32864855 9
2	Central Government/ State Government(s)/ President of India		0	Ü	0		0	0	0	0		0	0	0		0			0
	Sub-Total (B)(2)		0	0	0	0	0	0	0	0	0	0	0	0	0	0			0
3	Non- institutions		0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
a	Individuals -		13448 6	63858 051	0		638580 51	10.2	63858 051	0	638 580	10.2	0	10.2	0	0	0	0	58807412

								51						
i	Individual shareholders holding nominal share capital up to Rs. 2 lakhs.	4	55138 491		551384 91	8.81	551380 491	551 384 91	8.81	0	8.81	0	0	50087852
ii	Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs.	22	87195 60	0	871956 0	1.39	871950 60	871 956 0	1.39	0	1.39	0	0	8719560
b	NBFCs registered with RBI	13	17837	0	17837	0	178370	178 37	0	0	0	0	0	17837
c	Employee Trusts	0	0	0	0	0	0 0	0	0	0	0	0	0	0
d	Overseas Depositories (holding DRs) (balancing figure)	0	0	0	0	0	0 0	0	0	0	0	0	0	0
e	Any Other (specify)	4600	17723 408	0	177234 08	2.83	17723 0 408	177 234 08	2.83	0	2.83	0	0	17628869
	NRI Rept	2129	12692 28	0	126922 8	.2	12692 0 28	126 922 8		0	.2	0	0	1261576
	NRI Non - Rept	1261	11226 53	0	112265 3	.18	112260 53	112 265 3		0	.18	0	0	1069899
	Trusts	12	10339 08	0	103390 8	.17	10339 0 08		.17	0	.17	0	0	1033908
	OCB	2	61600	0	61600	.01	616000	616	.01	0	.01	0	0	61250

									00						
Foreign National	2	2983	0		2983	0	2983	0	298 3	0	0	0	0	0	2983
Clearing Member	179	25577 07	0		255770 7	.41	25577 07	0	255 770 7	.41	0	.41	0	0	2983
Bodies Corporate	1014	10720 104	0		107201 04	1.71	10720 104	0	107 201 04	1.71	0	1.71	0	0	10686321
Unclaimed/Es crow Account	1	95522 5	0		955225	.15	95522 5	0	955 225	.15	0	.15	0	0	955225
Sub-Total (B)(3)	13909 9	81599 296	0	0	815992 96	13.03	81599 296	0	815 992 96	13.03	0	13.03	0	0	76454118
Total Public Shareholding (B)= (B)(1)+(B)(2) +(B)(3)	13930 8	41041 2743	0	0	410412 743	65.56	41041 2743	0	410 412 743	65.56	0	65.56	0	0	40510267 7

Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder

	Category & Name	PAN	No. of	No. of	Partly	Nos. of	Total no.	Sharehol	Numbe	er of Votin	g Righ	ts held in	No. of	Total	Num	ber of	Nun	nber of	Number of
	of the	(II)	shareh	fully	paid-up	shares	shares	ding %	ea	ch class c		rities	Shares	shareholdin		ed in		nares	equity
	Shareholders		older	paid	equity	underlying	held	calculate		(I.	X)		Underlying	g, as a %		ares		lged or	shares held
	(1)		(III)	up	shares	Depository	(VII =	d as per					Outstanding	assuming	()	(II)		erwise	in
				equity share	held (V)	Receipts (VI)	IV+V+VI)	SCRR, 1957					convertible securities	full conversion				mbered XIII)	dematerializ ed form
				s held	(*)	(• • • • • • • • • • • • • • • • • • •		As a % of	No of	Voting Rig	ahts	Total as	(including	of	No.	As a	No.	As a	(XIV)
				(IV)				(A+B+C2)			,	a % of	Warrants)	convertible		% of	(No	% of	(Not
								(VIII)				Total	(X)	securities (total	t	total	Applicable)
									Class	Class	Tot	Voting		as a		Shar	ap	share	
									X	Y	al	rights		percentage of diluted		es held	pli ca	s held (Not	
														share		neid	ble	applic	
														capital) (XI))	able)	
1	Custodian/DR		1	0	0	882329	882329		0	0	0	0	0	0	0	0			882329
	Holder																		
2	Employee		0	0	0	0	0	0	0	0	0	0	0	0	0	0			0
	Benefit Trust																		
	(under SEBI																		
	`																		
	(Share based																		
	Employee																		
	Benefit)																		
	Regulations,																		
	2014)																		
	Total Non-		1	0	0	882329	882329	0	0	0	0	0	0	0	0	0	0	0	882329
	Promoter-																		
	Non Public																		
	Shareholding																		
	(C)=																		
	(C)(1)+(C)(2)																		

Table II- Unclaim Details

Details of Shares which remain unclaimed may be given here along with details such as number of shareholders,				
outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.				
No. of shareholders	No of share held			

_		
	1	955225
	1	1 753223

Table III- Unclaim Details

Details of Shares which remain unclaimed may be given here along with details such as number of shareholders,		
outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.		
No. of shareholders	No of share held	

Table III- Person in Concert

Details of the shareholders acting as persons in Concert including their Shareholding				
Name of shareholder	Name of PAC	No. of share	Holding %	